

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
 Ken-ichi MASUMOTO et al. : **Mail Stop: PCT**
 Serial No. NEW : Attorney Docket No. 2005-0796A
 Filed May 13, 2005 :
 LIGHT EMITTING DEVICE
[Corresponding to PCT/JP2003/014277
Filed November 10, 2003]

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED
 TO CHARGE ANY DEFICIENCY IN THE
 FEES FOR THIS PAPER TO DEPOSIT
 ACCOUNT NO. 23-0975

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/_____; and/or
- ☐ each reference previously cited in prior parent application Serial No. _____.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

ATTACHMENT D

and thus no certification and/or fee is required.

- 1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

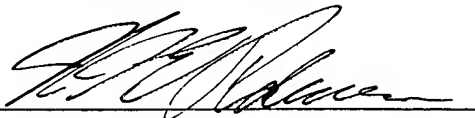
b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☒ a full or partial English language translation submitted herewith,
 - b. ☒ a foreign patent office search report (in the English language) and an International Preliminary Examination Report are submitted herewith,
 - c. ☒ the concise explanation contained in the specification of the present application at page 1,
 - d. ☒ the concise explanation set forth in the attached English language abstract,
 - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:
U.S. 6,429,837 corresponds to JP 11-305727
U.S. 2002/0050962 corresponds to JP 2002-189448
U.S. 2005/0041002 corresponds to WO 03/023750
U.S. 5,714,968; U.S. 5,940,053; & U.S. 6,011,529 correspond to JP 8-54835
5. ☒ A foreign patent office search report and an International Preliminary Examination Report citing one or more of the references are enclosed.

Respectfully submitted,

Ken-ichi MASUMOTO et al.

By 

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May 13, 2005

Sheet 1 of 1

INFORMATION DISCLOSURE STATEMENT

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: May 13, 2005

ATTY DOCKET NO.
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TRIAL NO.
NEW

10/534821

APPLICANT
Ken-ichi MASUMOTO et al.

FILING DATE
May 13, 2005

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,429,837	8/2002	Ishizuka et al.			
	AB	2002/0050962	5/2002	Kasai			
	AC	2005/0041002	2/2005	Takahara et al.			
	AD	5,714,968	2/1998	Ikeda			
	AE	5,940,053	8/1999	Ikeda			
	AF	6,011,529	1/2000	Ikeda			
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AF	11-161219	6/1999	JP			abstract
	AG	11-305727	11/1999	JP			abstract
	AH	2002-189448	7/2002	JP			abstract
	AI	6-301355	10/1994	JP			abstract
	AJ	03/023750	3/2003	WO			abstract
	AK	8-54835	2/1996	JP			abstract
	AL	11-198433	7/1999	JP			abstract

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AM	"Development Strategy for Organic EL Element", published by Kabushiki Kaisha Science Forum , published on June 30, 1992, pgs. 87-91 (with English translation).
	AN	
	AO	

EXAMINER

DATE CONSIDERED